

Ex. #	Description	Date
<i>John Giovanni and 2001 Takeover</i>		
1	1990 National Manager's statement from John Giovanni, re. New York Injury Compensation Fund	Aug./Sep., 1990
2	Survey of Support for Affiliation of the Guild With a National Labor Organization (from April 2001)	undated
3	Guild membership numbers, as of May 31, 2001 (from previous Guild management's computer records)	5/31/01
4	Summary of Points Decided at Executive Board Meeting, April 2 & 3, 2001	4/2&3/01
5	"Notice of Action by Written Consent Without Meeting of Executive Committee," re. termination of Giovanni and staff	6/15/01
6	Public announcement from Guild, re. resignation of Giovanni and termination of his staff	6/18/01
7	Letter from Chris McCarron to members, re. Giovanni's retirement	6/19/01
8	Letter from Pat Day to Executive Committee members, re. "The Written Consent Without Meeting"	undated
<i>Guild Documents and Financials</i>		
9	Jockeys' Guild By-Laws, as of June 1, 1995	6/1/95
10	Jockeys' Guild By-Laws, as of December 2004	12/1/04
11	"TRA and Jockeys' Guild Reach Three-Year Agreement"	12/9/99
12	Reassignment by Guild of Certain Television, Off-Track Betting and Other Rights of Jockeys	1/1/00
13	Jockeys' Guild Financial Statements, 2001 and 2002	11/5/03
14	Jockeys' Guild Financial Statements, 2003	12/1/04
15	Jockeys' Guild Return of Organization Exempt from Income Tax Form 990, 2001	
16	Jockeys' Guild Return of Organization Exempt from Income Tax Form 990, 2002	
17	Fax from Gevork Asatryan to Lloyd Ownbey, re. "Guild Employee Annual Compensation 1999-2003"	6/29/05
18	Jockeys' Guild Balance Sheet and Income Statement, 2004	
19	2002 Expenses for Human Capital from Quickbooks	3/15/03
20	Miscellaneous Guild balance sheet and account summaries, 1997-2004	

21	Guild's Form LM-30 Labor Organization Officer and Employee Report, 2003	2/10/05
22	Guild's Form LM-2 Labor Organization Annual Report, 2001	2/19/04
23	Guild's Form LM-2 Labor Organization Annual Report, 2002	2/19/04
24	Guild's Form LM-2 Labor Organization Annual Report, 2003	12/22/04
25	Bank of America bank statement from Guild's main operating account, June 1 through June 30, 2005	6/30/05
<i>Guild Insurance and Health and Welfare Funds</i>		
26	Life Insurance Company of North America, Blanket Accident Policy	4/1/01
27	Letter from Albert Fiss to Tim Smith, Commissioner, NTRA, re. expiration of Guild's excess on-track accident insurance	3/29/02
28	A Group Insurance Policy between The Union Labor Life Insurance Company and Jockeys' Guild, Inc.	4/1/97
29	The Jockeys' Guild Member Health Plan information	12/20/01
30	Letter from Stephen Rice to John Van de Kamp, Pres., Thoroughbred Owners of California, re. "Health Insurance Premiums"	3/2/04
31	The Jockeys' Guild Benefits Plan, 2005 - relevant sections	1/1/05
32	Jockeys' Guild Schedule of Delaware Jockeys' Health and Welfare Costs, Six Months ended June 30, 2005	8/23/05
33	Jockeys' Guild Schedule of California Jockeys' Health and Welfare Costs, Twelve Months ended December 31, 2004	3/5/05
34	Jockeys' Guild Welfare Benefits Plan Form 5500 Annual Return, 2003	
35	Interoffice Memo from Robert Colton, re. "Member Benefits Cancellation Policy & Overdue Accounts"	3/19/03
36	Guild spreadsheet of members' health insurance premiums accounts, as of March 1, 2005 (numbers in parentheses in "Balance" column represent positive balances)	
37	Email from Rosy De Sanctis to Guild employees, re. denied health coverage claim	11/10/03
38	Minutes of the Delaware Jockeys' Health and Welfare Benefit Board Meeting, Thursday, July 21, 2005	7/21/05
39	Jockeys' Guild Members Benefits Information Page	undated
40	The Jockeys' Guild Tools, Challenges, and War Plans	undated
<i>Disabled Jockeys' Fund</i>		
41	Trust Agreement governing the Disabled Jockeys Fund	7/1/90

42	Disabled Jockeys' Fund IRS Form 990 Summary, 1997-2002	undated
43	Disabled Jockeys' Fund Return of Organization Exempt from Income Tax Form 990, 2001	7/2/02
44	Disabled Jockeys' Fund Return of Organization Exempt from Income Tax Form 990, 2002	11/20/03
45	Disabled Jockeys' Fund Return of Organization Exempt from Income Tax Form 990, 2003	6/10/04
46	Disabled Jockeys' Fund Return of Organization Exempt from Income Tax Form 990, 2004 (submitted on 2003 form)	9/19/04
47	Letter from Stephen Rice to Marc Shultz, re. "Questions Regarding Disabled Jockeys' Fund (12/31/2002)	9/24/03
<i>Matrix Capital Associates and Dr. Gertmenian</i>		
48	2001 Agreement between Jockeys' Guild and Matrix, Inc.	6/25/01
49	2004 Agreement between Jockeys' Guild and Matrix, Inc.	12/1/03
50	2005 Agreement between Jockeys' Guild and Matrix, Inc.	12/7/04
51	Fax from Stephen Rice to Tomey Swan, re. "Matrix invoices"	7/14/03
52	Jockeys' Guild Miscellaneous Income IRS Form 1099 for 2002, re. payment to Matrix Capital Associates	
53	Letter from Steve Blitz to the Guild's Board of Directors, re. proposed contract extension with Matrix	undated
54	Matrix Form 1120 Income Tax Return, 2001	
55	Matrix Form 1120 Income Tax Return, 2002	
56	Matrix Form 1120 Income Tax Return, 2003	
57	Matrix Form 1120 Income Tax Return, 2004	
58	Matrix Expense Report for Guild-related expenses, June 2001 - December 2004	9/1/05
59	Gertmenian's resume, from University of Pepperdine Website	undated
60	1974 Washington Post article, "New Agreements Build on Existing Projects"	6/29/74
<i>Robert Colton</i>		
61	Letter from Robert Colton to Tomey Swan, re. concerns of fraud and lacking financial accountability	6/1/03
62	Letter from Robert Colton, re. concerns with Guild's finances and management	4/25/03
63	Fax from Tomey Swan to Jockeys' Guild Board of Directors, re. creation of a Financial Committee of the Board	3/24/03
<i>Accounting and Transparency Issues</i>		

64	Email from Richard Shapiro, Commissioner, California Horse Racing Board, to Committee staff, re. audits of the Guild	10/15/05
65	Memo from John Wayne, Exec. Dir., Delaware Thoroughbred Racing Commission, to Committee staff, re. audits of the Guild	10/14/05
66	Email from Sheila Greenwood, Dept. of Labor, to Committee staff, re. audits of the Guild	10/17/05
67	Letter from Chris Scherf, Executive Vice President, TRA, to Committee staff, re. on-track accident coverage	9/13/05
68	Email from Mark Mathias to Stephen Rice, re. California and Delaware health insurance claims	1/9/04
69	Email from Jenny Arnold to Dana Piazza, re. Jockeys' Guild health and welfare funds	1/6/04
70	Email from Lisa Haley to Stephen Rice, re. office rental	5/20/03
<i>Disabled Jockeys' Endowment</i>		
71	Disabled Jockeys' Endowment By-Laws, as amended May 2005	
72	Bank of America bank statement from Disabled Jockeys' Endowment, re. initial deposits from the Guild	6/16/03
73	Email from David Woodcock to Gertmenian and others, re. concerns with the Endowment	2/12/05
74	Email from David Woodcock to Farrell Gertmenian, re. the Endowment	8/25/03
75	Email and attached file from David Woodcock to Stephen Rice, re. "Keeneland expenses"	10/10/03
76	Email from David Woodcock to Stephen Rice, re. travel reimbursement	10/16/03
77	Forwarded email from David Woodcock to Guild staff, re. Endowment	6/17/03
78	Email from David Woodcock to Fern Lee, re. concerns with the Endowment	6/24/03
79	Emails from Barry Broad and David Woodcock, re. concerns with the Endowment	1/12/05
80	Emails from Peter Michel to Gertmenian, re. "Impact of Communications Strategy on the Endowment"	10/12/04
81	Email from Peter Michel to David Woodcock and Gertmenian, re. Endowment	10/16/04
<i>Eddie King</i>		
82	Email exchange between Tomey Swan and Eddie King, re. King's requests for financial documentation and records	May 2003 - Oct. 2004

83	Email exchange between Albert Fiss and John Wayne, re. "Follow-up on response to the King inquiry"	Sep./Oct., 2005
84	Exchange between John Wayne and Gevork Asatryan, re. "status of letter and request for funds with BB&T"	Aug. 2005
85	Email from Eddie King to Albert Fiss and others, re. harassment complaints	10/28/04
86	Letter from Eddie King to Edward Stegemeier, re. "Membership Into Delaware Jockeys Health and Welfare Fund"	1/10/05
87	Interrogatories from Eddie King and Gary Donahue's lawsuit against the Jockeys' Guild (relevant sections)	7/1/05
<i>SportsBusiness Journal Articles Written by Liz Mullen</i>		
88	"House panel won't get all it wants, Jockeys' Guild attorney"	10/3/05
89	"Lawyer calls probe 'witch hunt'"	8/29/05
90	"Guild CEO: Board dropped coverage"	8/8/05
91	"Jockeys aim for state fund run by Guild"	1/31/05
92	"Tape: Churchill jockey walkout 'no accident'"	1/17/05
93	"California panel to examine Guild"	12/27/04
94	"Jockeys' Guild puts the boot to critics"	12/13/04
95	"Jockeys' Guild pushes members to sign over media rights; suit ahead?"	11/29/04
96	"NTRA names ex-Guild leaders Bailey, Day to insurance"	11/22/04
97	"Auditor raised 'red flag' over Guild's books"	11/22/04
98	"Churchill jockey ban prompts NTRA action"	11/15/04
99	"Jockeys circulate petition for Guild audit"	10/25/04
100	"Jockeys press Guild for financial info"	10/11/04
101	"Jockeys questioning insurance"	9/27/04
102	"NTRA wants jockey advertising issue on fast track"	5/14/04
103	"Finances put jockey guild in turmoil"	7/9/01